PTO/SB/17 (11-00)

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

| | Complete if Known | |
|----------------------|--------------------------|--------|
| Application Number | 10/022,845 | |
| Filing Date | 12/20/01 | 1/0/ N |
| First Named Inventor | BACKENSFELD, Thomas, et. | al. W |
| Examiner Name | LEWIS, Patrick T. | 60 |
| Group / Art Unit | 1623 | 600 |
| Attorney Docket No. | PLOVIN-5 | 200 |

| | METHOD (| OF PAYMENT (check | one) | | | | | FEE C | ALCULATION (continued) | |
|--|---------------------------|-------------------------------------|----------------------------------|-------|-----------------|-------------------------------------|--|---|--|---------|
| ₁ 🛛 | | missioner is hereby at | | | 3. ADD | ITIONAL | FEES | C " | | |
| 1. indicated fees and credit any over payments to: | | | Large Entity | | Small Entity | | | | | |
| Donocit | | Fee | Fee | Fee | Fee | Fee Description | Fee | | | |
| Deposit Account 13-3402 Number | | Code | (\$) | Code | (\$) | · | Paid | | | |
| | | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | | | | |
| | | | | 1 | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet. | i |
| Deposit | 14:11 14/ | | - 00 | | 1053 | 130 | 1053 | 130 | Non-English specification | |
| Account Millen, White, Zelano & Branigan, P.C. | | | 1812 | 2.520 | 1812 | 2.520 | For filing a request for reexamination | | | |
| Charge Any Additional Fee Required | | | | 1804 | 920* | 1804 | 920° | Requesting publication of SIR prior to | | |
| | CFR 1.16 and | | | | 1004 | 320 | 1004 | 320 | Examiner action | |
| | t claims small | entity status. | | | 1805 | 1,840* 1 | 1805 | 1,840* | * Requesting publication of SIR after | |
| | CFR 1.27 ent Enclosed: | _ | | | | | | | Examiner action | |
| 2. 🔯 Payme | ent Enclosed: | | | | 1251 | 110 | 2 251 | 55 | Extension for reply within first month | |
| | ☐ Credit | card | ☐ Other | | 1252 | 410 | 2252 | 205 | Extension for reply within second month | |
| FEE CALCULATION | | | 1253 | 930 | 2253 | 465 | Extension for reply within third month | | | |
| BASIC FILING FEE | | | | 1254 | 1,450 | 2254 | 725 | Extension for reply within fourth month | | |
| • | Small Ent | - | | | 1255 | 1,970 | 2255 | 985 | Extension for reply within fifth month | |
| Fee Fee | Fee Fee | | Fee Paid | | 1401 | 320 | 2401 | 160 | Notice of Appeal | · |
| Code (\$) | Code (\$) | | ree Paid | _ | 1402 | 320 | 2402 | 160 | Filing a brief in support of an appeal | |
| 1001 750 | 2001 375 | , , | | - | 1403 | 280 | 2403 | 140 | Request for oral hearing | |
| 1002 330 1003 520 | 2002 165 2003 260 | | | 3 | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| 1004 750 | 2004 375 | Reissue filing fee | | ┙ | 1452 | 110 | 2452 | 55 | Petition to revive – unavoidable | |
| 1005 160 | 2005 80 | Provisional filling | fee | | 1453 | 1,300 | 2453 | 650 | Petition to revive - unintentional | |
| | | 142 | 1,300 | 242 | 650 | Utility issue fee (or reissue) | | | | |
| | SUBT | OTAL (1) | (\$) 0 | | 1502 | 470 | 2502 | 235 | Design issue fee | |
| 2. EXTRA CLA | M FEES | | | | 1503 | 630 | 2503 | 315 | Plant issue fee | |
| | | | ee from Fee | | 1460 | 130 | 1460 | 130 | Petitions to the Commissioner | |
| otal Claims 3 | 5 -46** | = Claims b | elow Paid = 0 | | 1807 | 130 | 1807 | 130 | Petitions related to provisional applications | |
| dependent laims 4 | -3** | = 0 X | = 0, | 7 | 1806 | 180 | 1806 | 180 | Submission of Information Disclosure Stmt | |
| ultiple ependent | | ×[| = 0 | | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| Large Entit Fee Fee | Fee | Entity Fee Fee Descript | lon | | 1809 | 750 | 2809 | 375 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| Code (\$) 1202 18 | 2202 | 9 Claims in exc | ess of 20 | | 1810 | 750 | 2810 | 375 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1201 84 | | • | daims in excess of 3 | _ | 1801 | 750 | 2801 | 375 | Request for Continued Examination (RCE) | |
| 1203 280 | 2203 | | ndent claim, if not paid | | | | | | | |
| 1204 84 | 2204 | 42 original pater | | | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| 1205 18 | 2205 | 9 ** Reissue cla over original (| nims in excess of 20 a patent | nd | | | | | | |
| | | SUBTOTAL (2) | (\$) 0 | | Other f | ee (speci | ý) | - | | <u></u> |
| | | | | | *Redu | ced by Ba | asic Filir | na Fee P | aid SUBTOTAL (3) | |
| | | reater; For Reissues, se | a abava | | 1 | o, o | | .g . 00 i | aid SUBTOTAL (3) (\$) 0 | |

| SUBMITTED BY | | | | Co | mplete (if applicable) |
|-------------------|--------------|----------------------------------|--------|-----------|------------------------|
| Name (Print/Type) | John A. Sopp | Registration No. Attorney/Agent) | 33,103 | Telephone | (703) 243-6333 |
| Signature | | allen | | Date | November 3, 2003 |

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| | crvices as First Class Mail in an envelope addressed to |
|------------|---|
| Commission | of Patenta, P O Box 1450, Alexandria, VA 22313- |
| Name: _ | JOHN A SOPE 2 209# 33,102 |
| - | allen |
| Signature: | |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

BACKENSFELD, Thomas, et. al.

Examiner: LEWIS, Patrick T.

Serial No.: 10/022,845

Group Art Unit: 1623

Filed: 12/20/01

Title: COMPOSITIONS OF ESTROGEN-CYCLODEXTRIN COMPLEXES

REPLY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

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In response to the Office Action mailed July 3, 2003, kindly amend the above-identified application as follows.

Amendments and additions to the **Claims** are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 8 of this paper.

CERTIFICATION OF MAILING

I hereby certify that this correspondence is being deposited with the U.S. Postal Services as First Class Mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on: November 3, 2003

Name: John A. Sopp, Reg. No. 33,103

Signature:

DOCKET NO.: PLOVIN-5

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